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FORM  (to be used for all correspondence after initial filing)			g Date	June 15, 2001 SMurphy, Gerald P.	3
			First Named Inventor		
			up Art Unit	1635	4 -
			miner Name	M. Schmidt	0 4
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		ENCLOSURE	S (check all that apply)	8	
		Assignment F (for an Applicat		After Allowance Communication to Group	<b>5</b>
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information	
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter	
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):	
Express Abandonment Request		Terminal Disclaimer Request for Refund		Return Postcard	
Information Disclosure Sta		CD, Number			
Certified Copy of Priority Document(s)		Remarks		a authorized to charge any additional fees to 1430.	
Response to Missing Part Incomplete Application	s/				
Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGNATURI	E OF APPLI	CANT, ATTORNEY, C	DR AGENT	
and	Townsend and Townsend and Crew LLP				
Individual name Brian V	Brian W. Poor Reg No. 32,928				
Signature	Drian W. Poor				
Date Septer	nber 28, 2001				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231. SE 5010257 v1

Date

September 28, 2001

Signature

Typed or printed name

Jennifer M. Smolen

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PATENT Attorney Docket No. 20093A-002100US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

GERALD P. MURPHY et al.

Application No.: 09/301,380

Filed: June 15, 2001

For: NR-CAM GENE, NUCLEIC ACIDS

AND NUCLEIC ACID PRODUCTS

FOR THERAPEUTIC AND DIAGNOSTIC USES FOR

**TUMORS** 

Examiner: M. Schmidt

Art Unit:

1635

RESPONSE TO RESTRICTION REQUIREMENT

ETECTION

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This communication is submitted in response to the Restriction Requirement dated August 28, 2001.

## **RESTRICTION REQUIREMENT:**

The Examiner stated that the following groups of claims are subject to restriction:

- I. Claims 1, 3-13, 16-17 and 19, drawn to pharmaceutical compositions comprising antisense, methods of treatment using antisense and kits comprising antisense or primers which hybridize to Nr-CAM, classifiable in classes 514 and 536;
- II. Claims 1-10, 12-23, 16 and 18-19, drawn to pharmaceutical compositions comprising antibodies or analogs or analogs/derivatives which bind Nr-CAM, methods of treatment using antibodies and kits comprising antibodies to Nr-CAM, classifiable in classes 514 and 530;
- III. Claims 3-10, 12-13 and 19, drawn to methods of treatment with nucleic acids comprising a portion of the Nr-CAM gene into which a heterologous sequence has been inserted for the purposes of recombination in to the Nr-CAM gene, thus inhibiting the Nr-CAM gene, classifiable in class 514;